GENERAL CONTEXTUAL QUESTIONS FOR SECTOR-SPECIFIC ASSESSMENTS

Gathering information against the questions below will provide assessment teams with a strong understanding of the environment, and the extent to which it is conducive to solutions. Such information will help to inform sector-specific interventions. The analysis team should try to gather as much information as possible through a secondary data review, although it is unlikely that there will be answers available to all of the questions in the table.

<table>
<thead>
<tr>
<th>LEGAL AND POLICY ENVIRONMENT</th>
<th>PROFILE OF DISPLACEMENT AFFECTED COMMUNITY¹</th>
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<tbody>
<tr>
<td>• Has the host country ratified the Refugee Convention, and/or regional conventions on displacement?</td>
<td>General information on displacement-affected community:</td>
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<td>• Which national laws, policies and regulations pertain to IDPs/refugees/returnees and durable solutions? What do they say about durable solutions?</td>
<td>• What is the development status of the displacement-affected area (i.e. subnational region, district etc)? How does the displacement-affected area score in development indices such as the Human Development Index and the Multi-dimensional Poverty Index?</td>
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<tr>
<td>• Which local laws, policies and regulations pertain to IDPs/refugees/returnees and durable solutions? What do they say about durable solutions?</td>
<td>• What are the key local government structures? What is their capacity and willingness to address forced displacement?</td>
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<td>• To what extent have forced displacement and solutions been incorporated into national and local development policies and plans?</td>
<td>Information on the host community:</td>
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<tr>
<td>• To what extent have forced displacement and solutions been incorporated into any peace negotiations/processes/agreements?</td>
<td>• Population numbers within displacement-affected communities (with any available disaggregation). Ratio of host population to displaced population in these areas.</td>
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<td>• What are the government’s incentives for, and commitment to, implementation of all of the above?</td>
<td>• Where does the host population live? (rural/urban, mixed or separated from the displaced population etc.)</td>
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### Background on displaced population:
- Areas of origin
- Population numbers (with any available disaggregation)
- What is the nature of their displacement? (i.e., its length, whether it is still ongoing; whether it was sudden or not)
- Where are displaced populations located? (i.e., rural/urban, in camps/dispersed etc.)
- What are their reasons for/root causes of displacement (or return in the case of returnees)? What is the current situation in their place of origin? Do the factors that led to displacement still persist?
- What is their socio-economic profile? (including professional or vocational backgrounds as well as resources, capacities and assets)

### Intentions/preferences of displaced population:
- What are the stated intentions and preferences of IDPs/refugees/returnees vis-à-vis durable solutions, including short, medium and long-term plans?
- What are the factors that influence the above-mentioned intentions?
- What are the barriers to achieving these solutions?

### THE RESPONSE TO FORCED DISPLACEMENT

#### Actors/institutions:
- What are the key governmental institutions at regional, national and local-level with responsibility in relation to IDPs/refugees/returnees?
- What is the capacity and willingness of the above-mentioned institutions vis-à-vis IDPs/refugees/returnees, and incentives vis-à-vis durable solutions?
- Which actors are involved/not involved in addressing displacement, including non-humanitarian actors? (State, local government, national civil society, development actors, private sector).

#### Planning and strategies:
- What mechanisms for coordination and planning exist, and which actors participate in these?
- What response-level strategies and plans are in place–humanitarian, development, resilience etc- including any existing durable solutions strategies?
- To what extent is the response focused on building self-reliance and resilience of displaced, host communities and local institutions (an area-based approach) versus a “care and maintenance” approach?
- What other development plans and strategies are in place for the displacement-affected community (including those which do not directly target the displaced population as beneficiaries)?

#### Funding:
- How is the response funded?
- Who are the key humanitarian and development donors and what are their priorities?
- How well is it funded?